



3732

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Clifton A. Alfernness and David M. Kaye

Serial No.: 09/751,271

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Title: MITRAL VALVE CONSTRICTING DEVICE, SYSTEM
AND METHOD

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CERTIFICATE OF MAILING OR TRANSMISSION

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SignatureRULE 56 INFORMATION DISCLOSURE AND
ACCOMPANYING SECTION 1.97(e) CERTIFICATION

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

In compliance with 37 CFR § 1.56 and § 1.97, Applicants acting by and through the attorney of record, hereby discloses patents and other documents listed on the attached Form PTO-1449 which may be considered relevant to the examination of the subject application.

Copies of the cited references are enclosed.

Certification Under Section 1.97(e)

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,

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